US CAPITAL

JEFFREY SWEENEY

SPEAKING ENGAGEMENTS AND PUBLICATIONS

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JEFFREY SWEENEY IN THE MEDIA

JEFFREY SWEENEY
FOUNDER AND CHAIRMAN

Jeffrey is a lifelong entrepreneur with extensive experience in leading firms with a forward-thinking approach. Currently Founder and Chairman of US Capital, a full-service private financial services group headquartered in San Francisco, Jeffrey is a keen supporter of technological innovations that can help to improve and grow the finance sector.

Now in its twentieth year, US Capital has established itself as a FinTech investment bank through deploying a successful strategy that leverages technological innovation (FinTech) and regulatory developments (RegTech). The group is a leading provider of sophisticated financing solutions and investment opportunities for lower middle market businesses. Under Jeffrey's leadership, the group consistently fulfils its commitment to doing well by doing good through facilitating profitable economic development that also has a positive social and environmental impact.

Prior to being a financier, Jeffrey served as a principal and CEO of Machine Works Corporation, a lower middle market aerospace manufacturing company. His experience leading a business in the highly-regulated aerospace manufacturing industry has led to his appreciation of the need to work closely with regulators while adopting technological solutions to innovate in the sector. This successful approach has been brought over to US Capital, where leveraging innovation and regulatory developments has been a key driver in the firm's growth as a FinTech investment bank.

As an experienced speaker and panel chair, Jeffrey brings a dynamic yet structured approach to engaging the panel and the audience. He frequently presides over and participates in a variety of finance and FinTech events, and recent speaking engagements include:

- Commercial Finance Association (CFA)
- Turnaround Management Association (TMA)
- Equipment Leasing and Finance Association (ELFA)
- Euromoney Institutional Investor PLC
- Opal Group
- University of California, Santa Barbara Economics Club
- San Jose State College Economic Department

Jeffrey has also authored numerous articles in leading industry journals, such as ABF Journal, ABL Advisor, The Secured Lender, and The Fintech Times. He holds a bachelor's degree in Business Economics from the University of California, Santa Barbara, and is active in the Southern California and San Francisco chapters of ACG, TMA, CFA, and other financial organizations. He is an avid sailor, a certified yoga instructor, and a longstanding contributor to the San Francisco Food Bank and Food for Life Vrindavan, an educational nonprofit for girls based in Vrindavan, India.

SAMPLE ARTICLES

PUBLISHED ARTICLES BY JEFFREY SWEENEY

"Where Europe Is Really Growing—and Why Entrepreneurs Are Leading the Way"
US Capital – March 25, 2026
https://uscapital.com/news/pdfs/where-europe-is-really-growing-and-why-entrepreneurs-are-leading-the-way.pdf

"Senior Stretch Loans: A 'Big Company' Solution for Private Companies"
US Capital – February 4, 2026
https://uscapital.com/news/pdfs/senior-stretch-loans--a-big-company-solution-for-private-companies.pdf

"The Capital Markets Shift No One is Talking About: A Global Financial Services Founder's Perspective on 2026"
ABL Advisor – January 21, 2026
https://www.abladvisor.com/articles/42292/the-capital-markets-shift-no-one-is-talking-about-a-global-financial-services-fo

"Bloomberg and Blackstone Prediction: Alternative Assets Will Find Their Way into Your 401(K) Plans"
US Capital – May 2022
https://uscapital.com/news/bloomberg-and-blackstone-prediction-alternative-assets-will-find-their-way-into-your-401k-plans.html

"Investment and Capital Markets Outlook: Top Five Themes in 2022"
US Capital – February 2022
https://www.uscapglobal.com/news/investment-and-capital-markets-outlook-top-five-themes-in-2022.html

"The Rise of Sustainable Investing and Impact Investing"
US Capital – August 2021
https://uscapglobal.com/news/pdfs/rise-of-sustainable-investing-and-impact-investing.pdf

"Higher inflation is here. Mitigate the inevitable damage to your portfolio with higher interest rates through alternative fixed income investments."
US Capital – June 2021
https://www.uscapglobal.com/news/pdfs/higher-inflation-is-here-mitigate-the-damage-to-your-portfolio.pdf

"Q&A: Jeffrey Sweeney, Founder and Chairman, US Capital Global"
Real Deals – October 15, 2020
https://www.uscapglobal.com/news/pdfs/470-RD-15-October-2020.pdf

"How is Money Going to be Raised Using Digital Securities?"
The Fintech Times – February 28, 2019
www.thefintechtimes.com/digital-securities-assets/

SAMPLE ARTICLES

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PUBLISHED ARTICLES BY JEFFREY SWEENEY

"Blockchain Infrastructure Technology: Bringing Liquidity to Trillions in Alternative"
The Fintech Times – October 8, 2018
www.thefintechtimes.com/blockchain-infrastructure-technology/

The Theranos Securities Fraud Settlement...an easily avoidable financial and career train wreck for the founder"
The Fintech Times – April 2018
www.thefintechtimes.com/the-theranos-securities-fraud-settlement/

"Why Is Asset-Based Lending So Expensive? Comparing Cost Structures for ABL and C&I Loans"
ABF Journal – November/December 2017
www.abfjournal.com/articles/why-is-asset-based-lending-so-expensive-comparing-cost-structures-for-abl-and-ci-loans

"Banking on IP: An Insider's Perspective"
ABL Advisor – September 2017
www.abladvisor.com/blogs/12633/banking-on-ip-an-insiders-perspective

"CFO Concerns: Adding 'Underbanked' to a Growing List of Challenges"
ABF Journal – Sept 2015
www.abfjournal.com/articles/cfo-concerns-adding-underbanked-to-a-growing-list-of-challenges

"Convergence of ABL: Cementing its Global Role"
ABF Journal – March 2015
www.abfjournal.com/articles/convergence-of-abl-cementing-its-global-role

"New Trends in Workouts: The Good, the Bad, and the Ugly"
The Secured Lender – October 2011
https://uscapglobal.com/news/pdfs/NewTrendsInWorkout-JeffSweeney.pdf

"Intelligent Workouts: Embracing a New Paradigm in Small Business Refinancing"
The Secured Lender – November/December 2010
https://uscapglobal.com/news/pdfs/IntelligentWorkouts-JeffreySweeney.pdf

"Passions: Jeffrey Sweeney, Yogi"
Inc. Magazine – April Joyner, Senior Reporter – March 1, 2009
www.inc.com/magazine/20090301/passions-jeffrey-sweeney-yogi.html

SAMPLE EVENTS

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SPEAKING ENGAGEMENTS BY JEFFREY SWEENEY

Bitcoin 2022 Kick-Off Party
Miami – April 2022

Breaking Through International Investment Barriers
Dubai – December 2021
Jeffrey Sweeney spoke about the future of Security Token Offerings and the big change that tokenization has brought to Finance.

Celebrating Women in Tech
The Arts Club, London – October 19, 2021
Jeffrey Sweeney spoke at a series of exclusive polo, chartered yacht, and business networking events in Dubai to celebrate the expansion of the group in the Middle East.

FinTech Legal Labs
March 2021
US Capital announced a transaction analysis competition with FinTech Legal Labs and Jeffrey Sweeney spoke about the future of FinTech on the FinTech Influencer Panel.

ClaraPHI's 6th Annual Conference for Financial Advisors
Scottsdale, Arizona – October 2020
In his presentation, Jeffrey Sweeney focused on the advantages of private credit as a flexible solution for all market environments, including today's volatile and uncertain times.

"Security Tokens Realised" Symposium
Grand Connaught Hotel, London – January 23–24, 2019
Jeffrey Sweeney presented the first-ever Security Tokens Awards at a ceremony hosted by *The Fintech Times*, the world's first and only FinTech news outlet.

"ICOs Dead? Long Live STOs!"
RocketSpace Centre, London – November 20, 2018
Jeffrey Sweeney spoke about the future of Security Token Offerings and the big change that tokenization has brought to Finance.

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SPEAKING ENGAGEMENTS BY JEFFREY SWEENEY

"Are ICO's DEAD? What's next..."
Nasdaq Entrepreneurial Center, San Francisco – October 25, 2018
Jeffrey Sweeney addressed questions such as the following: Why "digital" and what's a DLT? Why will digital securities make life better? What asset types can be used by blockchain ledgers?

Geraci Captivate West Conference
The Cosmopolitan Hotel, Las Vegas – August 26–28, 2018
Jeffery Sweeney shared leading credit and real estate investment strategies for today's rapidly changing investment climate.

Jeffrey Sweeney, guest speaker
Activate 2018 Conference, Beverley Hills, CA – May 10th
RegTech solutions for capital raises, efficacious fund and SPV structures, and how bankers are important in helping to protect both issuers and investors.

25th Annual European Pensions Symposium
Hotel Vier Jahreszeiten Kempinski in Munich, Germany – February 1-3, 2017

Family Office Wealth Conference
September 2016
Presentation by Jeffrey Sweeney: "Investing in Alternatives"

Lessons Learned from Theranos
Squire Patton Boggs' San Francisco headquarters – May 2018
Why is it risky to talk about your own deal when you do not have bankers on board? What are the key roles of lawyers and bankers in a raise, and how do they differ?

Monaco Growth Forums, Summer Edition
Le Meridien Beach Plaza Hotel in Monte Carlo, Monaco – July 25-27, 2016
Jeffrey Sweeney spoke on the topic: "How to List or Finance Your International Company in North American Markets."

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SPEAKING ENGAGEMENTS BY JEFFREY SWEENEY

Santa Barbara Investment Forum
Santa Barbara Club – April 13, 2016
Presentation by Jeffrey Sweeney: "Investing in Alternatives: Best Practices & Leading Strategies"

Monaco Growth Forums, Spring Edition
Le Meridien Beach Plaza Hotel in Monte Carlo, Monaco – March 14-16, 2016
Jeffrey Sweeney delivered a formal presentation at the event as well as a keynote speech, in which he discussed best practices and investment strategies in alternatives and private placement opportunities.

Opal Financial Group's upcoming Family Office Winter Forum
New York Marriott Marquis, New York – March 8, 2016
Jeffrey Sweeney spoke on a panel titled "Direct Investing/Co-Investing," where he discussed best practices and investment strategies in alternatives and private placement opportunities.

Opal Family Office and Private Wealth Management Forum in Napa Valley
West at the Napa Valley Marriott Hotel & Spa in Napa, CA – October 28–30, 2015
Jeffrey Sweeney spoke on a panel titled "Investing in Alternatives," where he gave a short presentation on global investment trends and best strategies for the future.

"Security Tokens Realised" Symposium

"ICOs Dead? Long Live STOs!"

MEDIA RESOURCES

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JEFFREY SWEENEY

FOUNDER AND CHAIRMAN

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Print resolution Jpeg **1.4MB**

PDFS

Brochure **3.6MB**

'About Us' **1.1MB**

EXECUTIVE MANAGEMENT

JEFFREY SWEENEY

FOUNDER AND CHAIRMAN

The founder of US Capital, Mr. Sweeney has a strong entrepreneurial approach, which he has applied in the investment banking and equipment leasing arena for over 20 years. In 1998, Mr. Sweeney purchased the assets of US Capital Corporation, a small equipment leasing company. Changing the focus of the company to asset-based lending and investment banking, Mr. Sweeney grew the business to over $4 billion in funding opportunities each year. Today, he oversees a growing network of affiliated companies under the US Capital flagship brand.

CHARLES TOWLE

MANAGING PARTNER & COO

Mr. Towle has helped grow US Capital into a leading private financial group for small and medium-sized businesses in the U.S. A structured funding and corporate finance specialist, Mr. Towle has over 15 years of business development, asset management, corporate finance, capital markets, and general business management experience. Prior to US Capital, Mr. Towle gained extensive entrepreneurial and investment experience, serving as a limited partner, financial officer, or board member of various investment and endowment funds and small and medium-sized businesses, both in the U.S. and abroad.

ISH SPENCER

PARTNER, CORPORATE FINANCE

Mr. Spencer is responsible for directing all aspects of US Capital's nationwide Corporate Finance business origination program, business development, underwriting of commercial loans, and client relationships. Mr. Spencer brings extensive multi-disciplinary experience to US Capital, including special expertise in particular verticals, such as healthcare, technology, and manufacturing. He oversees US Capital's deal teams at the group's San Francisco headquarters, made up of banking professionals, business analysts, and underwriters.

PANKAJ VASHISTH

PARTNER AND CCO

Pankaj Vashisth heads US Capital Global's administrative operations in Las Vegas while overseeing all regulatory and compliance functions, particularly in private placements, securities, and related services offered by US Capital Global Securities LLC, the group's SEC-registered broker-dealer affiliate. Mr. Vashisth's prior roles with industry leaders including Bank of America, Merrill Lynch, Swiss Re, First Data, and Deutsche Bank have equipped him with extensive experience in areas such as M&A, debt and equity financing, and risk management.

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IN THE MEDIA

US Capital has been quoted and featured in leading publications including:

abfjournal
ABL ADVISOR
BUSINESS NEWS DAILY
A guide for startups & small businesses
MERGERS & ACQUISITIONS
manex
WALL STREET JOURNAL
Bloomberg Businessweek
Inc.
The Secured Lender
The HedgeFund LAW REPORT
THE FINTECH TIMES
FORTUNE

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